

# MIKE 3 Wave Model FM

Hydrodynamic module

Scientific Documentation



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### APPENDIX A – Governing equations in spherical coordinates

# 1 Introduction

This document presents the scientific background for the MIKE 3 Wave Model FM. The objective is to provide the user with a detailed description of the governing equations, numerical discretization and solution methods.

MIKE 3 Wave Model FM can be applied in the following areas:

- Ports and terminals
  - Wave agitation caused by short and long waves
  - Input to dynamic ship mooring analysis (MIKE 21 MA)
- Coastal areas
  - Non-linear wave transformation
  - Surf and swash zone hydrodynamics
  - Wave breaking and run-up
  - Coastal flooding
  - Tsunamis (transient) modelling
- Coastal structures
  - Wave overtopping
  - Wave transmission (and reflection) through porous structures
  - Input to wave load calculation
- Offshore environments
  - Transformation of steep nonlinear waves
  - 3D wave kinematics for structural load calculations

The model is based on the numerical solution of the three-dimensional incompressible Reynolds-averaged Navier-Stokes equations. Thus, the model consists of continuity and momentum equations, and it is closed by a turbulent closure scheme. The free surface is taken into account using a sigma coordinate transformation approach. The spatial discretization of the governing equations in conserved form is performed using a cell-centered finite volume method. The time integration is performed using a semi-implicit scheme. The vertical convective and diffusion terms are discretized using an implicit scheme to remove the stability limitations associated with the vertical resolution. The remaining terms are discretized using a second-order explicit Runge-Kutta scheme. The projection method is employed for the non-hydrostatic pressure. The interface convective fluxes are calculated using a HLLC approximate Riemann solver. This shock-capturing scheme enables robust and stable simulation of flows involving shocks or discontinuities such as bores and hydraulic jumps. This is essential for modelling of waves in the breaking zone or porous structures. The numerical dissipation accounts for the dissipation in the breaking waves.





## 2 Governing equations

The governing equations are solved in a sigma coordinate system or a combination of sigma coordinate system and a Cartesian coordinate system. For the hybrid system sigma coordinate is used from the free surface to a specified depth, and z-coordinate is used below. The most important advantage using sigma coordinate is the ability to accurately represent the bathymetry and provide consistent resolution near the bed. However, sigma coordinates can suffer from significant errors in the horizontal pressure gradients, advection and mixing terms in areas with sharp topographic changes (steep slopes). These errors can give rise to unrealistic flows. The use of z-level coordinate allows a simple calculation of the horizontal pressure gradients, advection and mixing terms, but the disadvantages are their inaccuracy in representing the bathymetry and that the stair-step representation of the bathymetry can result in unrealistic flow velocities near the bottom.

The governing equations can also be formulated in a spherical coordinate system. For more details, see Appendix A1.

### 2.1 Governing equations in a Cartesian coordinate system

#### 2.1.1 Navier-Stokes equations

The non-hydrostatic model is based on the incompressible Navier-Stokes equations subject to the assumptions of Boussinesq and with the free surface described by a height function. In a Cartesian coordinate system the local continuity equation is written as

$$\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} + \frac{\partial w}{\partial z} = 0 \quad (2.1)$$

and the conservative form of the momentum equation can be written

$$\frac{\partial u}{\partial t} + \frac{\partial u^2}{\partial x} + \frac{\partial vu}{\partial y} + \frac{\partial wu}{\partial z} = fv - \frac{1}{\rho_0} \frac{\partial q}{\partial x} - g \frac{\partial \eta}{\partial x} + F_u + \frac{\partial}{\partial z} \left( v_t^v \frac{\partial u}{\partial z} \right) \quad (2.2)$$

$$\frac{\partial v}{\partial t} + \frac{\partial uv}{\partial x} + \frac{\partial v^2}{\partial y} + \frac{\partial wv}{\partial z} = -fu - \frac{1}{\rho_0} \frac{\partial q}{\partial y} - g \frac{\partial \eta}{\partial y} + F_v + \frac{\partial}{\partial z} \left( v_t^v \frac{\partial v}{\partial z} \right) \quad (2.3)$$

$$\frac{\partial w}{\partial t} + \frac{\partial uw}{\partial x} + \frac{\partial vw}{\partial y} + \frac{\partial w^2}{\partial z} = -\frac{1}{\rho_0} \frac{\partial q}{\partial z} + F_w + \frac{\partial}{\partial z} \left( v_t^v \frac{\partial w}{\partial z} \right) \quad (2.4)$$

Here  $t$  is the time;  $x$ ,  $y$  and  $z$  are the Cartesian coordinates;  $\eta$  is the surface elevation;  $u$ ,  $v$  and  $w$  are the velocity components in the  $x$ ,  $y$  and  $z$  direction;  $q$  is the non-hydrostatic pressure;  $f = 2\Omega \sin \phi$  is the Coriolis parameter ( $\Omega$  is the angular rate of revolution and  $\phi$  the geographic latitude);  $v_t^v$  is the vertical eddy viscosity;  $g$  is the gravitational acceleration;  $\rho_0$ , is the reference density of water. Eq 2.2-4 are obtained by splitting the total pressure,  $p$ , into a non-hydrostatic and a hydrostatic component,  $p_H$ , where

$$p_H = p_A + \rho_0 g(\eta - z) + g \int_z^\eta (\rho - \rho_0) dz \quad (2.5)$$

The atmospheric pressure,  $p_A$ , at the free surface is assumed to be constant over the domain, and the density of water,  $\rho$ , is assumed to be constant.

The horizontal diffusion terms are described using a gradient-stress relation, which is simplified to

$$F_u = \frac{\partial}{\partial x} \left( 2v_t^h \frac{\partial u}{\partial x} \right) + \frac{\partial}{\partial y} \left( v_t^h \left( \frac{\partial u}{\partial y} + \frac{\partial v}{\partial x} \right) \right) \quad (2.6)$$

$$F_v = \frac{\partial}{\partial x} \left( v_t^h \left( \frac{\partial u}{\partial y} + \frac{\partial v}{\partial x} \right) \right) + \frac{\partial}{\partial y} \left( 2v_t^h \frac{\partial v}{\partial y} \right) \quad (2.7)$$

$$F_w = \frac{\partial}{\partial x} \left( v_t^h \frac{\partial w}{\partial x} \right) + \frac{\partial}{\partial y} \left( v_t^h \frac{\partial w}{\partial y} \right) \quad (2.8)$$

where  $v_t^h$  is the horizontal eddy viscosity.

The surface and bottom boundary conditions for  $u$ ,  $v$  and  $w$  are

at  $z = \eta$

$$\frac{\partial \eta}{\partial t} + u \frac{\partial \eta}{\partial x} + v \frac{\partial \eta}{\partial y} - w = 0, \quad \left( \frac{\partial u}{\partial z}, \frac{\partial v}{\partial z} \right) = (0, 0) \quad (2.9)$$

at  $z = -d$

$$u \frac{\partial d}{\partial x} + v \frac{\partial d}{\partial y} + w = 0, \quad \left( \frac{\partial u}{\partial z}, \frac{\partial v}{\partial z} \right) = \frac{1}{\rho_0 v_t^v} (\tau_{bx}, \tau_{by}) \quad (2.10)$$

Here  $d$  is the still water depth, and  $(\tau_{bx}, \tau_{by})$  are the  $x$ - and  $y$ -components of the bottom stresses.

The total water depth,  $h = \eta + d$ , is obtained by vertical integration of the local continuity equation and taking into account the boundary condition at the surface and the bottom

$$\frac{\partial h}{\partial t} + \frac{\partial h \bar{u}}{\partial x} + \frac{\partial h \bar{v}}{\partial y} = 0 \quad (2.11)$$

Where  $\bar{u}$  and  $\bar{v}$  are the depth-averaged velocities

$$h \bar{u} = \int_{-d}^{\eta} u dz, \quad h \bar{v} = \int_{-d}^{\eta} v dz \quad (2.12)$$

In matrix form the continuity equation and the momentum equations may be written

$$\frac{\partial h}{\partial t} + \nabla \cdot \mathbf{F}^c = 0 \quad (2.13)$$

$$\frac{\partial \mathbf{U}}{\partial t} + \nabla \cdot \mathbf{F}^m = \mathbf{S}_h + \mathbf{S}_q \quad (2.14)$$

Here  $\mathbf{F}^c = (F_x^c, F_y^c)^T = (h\bar{u}, h\bar{v})^T$ ,  $\mathbf{U} = (u, v, w)^T$  and  $\mathbf{F}^m = \mathbf{F}^{mc} - \mathbf{F}^{md} = (\mathbf{F}_x^m, \mathbf{F}_y^m, \mathbf{F}_z^m)^T$ . The flux components and the source terms can be written

$$\mathbf{F}_x^{mc} = \begin{pmatrix} uu + g\eta \\ uv \\ uw \end{pmatrix} \quad \mathbf{F}_y^{mc} = \begin{pmatrix} uv \\ vv + g\eta \\ vw \end{pmatrix} \quad \mathbf{F}_z^{mc} = \begin{pmatrix} uw \\ vw \\ ww \end{pmatrix} \quad (2.15)$$

$$\mathbf{F}_x^{md} = \begin{pmatrix} 2v_t^h \frac{\partial u}{\partial x} \\ v_t^h \left( \frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} \right) \\ v_t^h \frac{\partial w}{\partial x} \end{pmatrix} \quad \mathbf{F}_y^{md} = \begin{pmatrix} v_t^h \left( \frac{\partial u}{\partial y} + \frac{\partial v}{\partial x} \right) \\ 2v_t^h \frac{\partial v}{\partial y} \\ v_t^h \frac{\partial w}{\partial y} \end{pmatrix} \quad \mathbf{F}_z^{md} = \begin{pmatrix} v_t^v \frac{\partial u}{\partial z} \\ v_t^v \frac{\partial v}{\partial z} \\ v_t^v \frac{\partial w}{\partial z} \end{pmatrix} \quad (2.16)$$

$$\mathbf{S}_h = \begin{pmatrix} fv \\ -fu \\ 0 \end{pmatrix} \quad \mathbf{S}_q = -\frac{1}{\rho_0} \begin{pmatrix} \frac{\partial q}{\partial x} \\ \frac{\partial q}{\partial y} \\ \frac{\partial q}{\partial w} \end{pmatrix} \quad (2.17)$$

If the hydrostatic pressure assumption is applied, the non-hydrostatic pressure will be zero. With this assumption, a three-dimensional, hydrodynamic model can be significantly simplified because the momentum equation in the vertical direction (Eq.2.4) can be neglected.

### 2.1.2 Turbulence model

The turbulence is modelled using an eddy viscosity concept. The eddy viscosity can be described using empirical formula (see section 4.2) or solving a turbulence closure model.

In the  $k$ - $\varepsilon$  model (Rodi, (1980,1984)) the eddy-viscosity,  $v_t$ , is derived from turbulence parameters  $k$  and  $\varepsilon$  as

$$v_t = c_\mu \frac{k^2}{\varepsilon} \quad (2.18)$$

where  $k$  is the turbulent kinetic energy per unit mass,  $\varepsilon$  is the dissipation rate of turbulent kinetic energy (turbulent dissipation) and  $c_\mu$  is an empirical constant. This formula can be used for both the horizontal and vertical eddy viscosity.

The turbulent kinetic energy,  $k$ , and the turbulent dissipation,  $\varepsilon$ , are obtained from the following transport equations

$$\frac{\partial k}{\partial t} + \frac{\partial uk}{\partial x} + \frac{\partial vk}{\partial y} + \frac{\partial wk}{\partial z} = F_k + \frac{\partial}{\partial z} \left( \frac{v_t^v}{\sigma_k} \frac{\partial k}{\partial z} \right) + P - \varepsilon \quad (2.19)$$

$$\frac{\partial \varepsilon}{\partial t} + \frac{\partial u\varepsilon}{\partial x} + \frac{\partial v\varepsilon}{\partial y} + \frac{\partial w\varepsilon}{\partial z} = F_\varepsilon + \frac{\partial}{\partial z} \left( \frac{v_t^v}{\sigma_\varepsilon} \frac{\partial \varepsilon}{\partial z} \right) + \frac{\varepsilon}{k} (c_{1\varepsilon}P - c_{2\varepsilon}\varepsilon) \quad (2.20)$$

where the shear production,  $P$ , is given as

$$P = \frac{\tau_{xz}}{\rho_0} \frac{\partial u}{\partial z} + \frac{\tau_{yz}}{\rho_0} \frac{\partial v}{\partial z} \approx \nu_t^v \left( \left( \frac{\partial u}{\partial z} \right)^2 + \left( \frac{\partial v}{\partial z} \right)^2 \right) \quad (2.21)$$

Here  $\tau_{xz}$  and  $\tau_{yz}$  are components of the Reynolds stress.  $\sigma_k$ ,  $\sigma_\varepsilon$ ,  $c_{1\varepsilon}$  and  $c_{2\varepsilon}$  are empirical constants.  $F_k$  and  $F_\varepsilon$  are the horizontal diffusion terms defined by

$$F_k = \frac{\partial}{\partial x} \left( \frac{\nu_t^h}{\sigma_k} \frac{\partial k}{\partial x} \right) + \frac{\partial}{\partial y} \left( \frac{\nu_t^h}{\sigma_k} \frac{\partial k}{\partial y} \right) \quad (2.22)$$

$$F_\varepsilon = \frac{\partial}{\partial x} \left( \frac{\nu_t^h}{\sigma_\varepsilon} \frac{\partial \varepsilon}{\partial x} \right) + \frac{\partial}{\partial y} \left( \frac{\nu_t^h}{\sigma_\varepsilon} \frac{\partial \varepsilon}{\partial y} \right) \quad (2.23)$$

Several carefully calibrated empirical coefficients enter the k- $\varepsilon$  turbulence model. In the standard k- $\varepsilon$  model (Rodi (1984)):  $c_\mu = 0.09$ ,  $\sigma_k = 1.0$ ,  $\sigma_\varepsilon = 1.3$ ,  $c_{1\varepsilon} = 1.44$  and  $c_{2\varepsilon} = 1.92$ .

At the surface, the boundary conditions for the turbulent kinetic energy and its rate of dissipation are

at  $z = \eta$

$$\frac{\partial k}{\partial z} = 0 \quad \varepsilon = \frac{(k\sqrt{c_\mu})^{3/2}}{\alpha\kappa h} \quad (2.24)$$

where  $\kappa = 0.41$  is the von Kármán constant, and  $\alpha = 0.07$  is an empirical constant. At the seabed the boundary conditions are

at  $z = -d$

$$k = \frac{1}{\sqrt{c_\mu}} U_{tb}^2 \quad \varepsilon = \frac{U_{tb}^3}{\kappa \Delta z_b} \quad (2.25)$$

Here  $\Delta z_b$  is the distance from the bottom where the boundary condition is imposed, and  $U_{tb}$  is the friction velocity associated with the bottom stress (see section 4.1).

In matrix form the transport equations for  $k$  and  $\varepsilon$  may be written

$$\frac{\partial \mathbf{U}}{\partial t} + \nabla \cdot \mathbf{F}^t = \mathbf{S}_t \quad (2.26)$$

where  $\mathbf{U} = (k, \varepsilon)^T$  and  $\mathbf{F}^t = \mathbf{F}^{tc} - \mathbf{F}^{td} = (\mathbf{F}_x^t, \mathbf{F}_y^t, \mathbf{F}_z^t)^T$ . The flux components and the source terms can be written

$$\mathbf{F}_x^{tc} = \begin{pmatrix} uk \\ u\varepsilon \end{pmatrix} \quad \mathbf{F}_y^{tc} = \begin{pmatrix} vk \\ v\varepsilon \end{pmatrix} \quad \mathbf{F}_z^{tc} = \begin{pmatrix} wk \\ w\varepsilon \end{pmatrix} \quad (2.27)$$

$$\mathbf{F}_x^{td} = \begin{pmatrix} \frac{\nu_t^h}{\sigma_k} \frac{\partial k}{\partial x} \\ \frac{\nu_t^h}{\sigma_\varepsilon} \frac{\partial \varepsilon}{\partial x} \end{pmatrix} \quad \mathbf{F}_y^{td} = \begin{pmatrix} \frac{\nu_t^h}{\sigma_k} \frac{\partial k}{\partial y} \\ \frac{\nu_t^h}{\sigma_\varepsilon} \frac{\partial \varepsilon}{\partial y} \end{pmatrix} \quad \mathbf{F}_z^{td} = \begin{pmatrix} \frac{\nu_t^v}{\sigma_k} \frac{\partial k}{\partial z} \\ \frac{\nu_t^v}{\sigma_\varepsilon} \frac{\partial \varepsilon}{\partial z} \end{pmatrix} \quad (2.28)$$

$$S_t = \left( \frac{\varepsilon}{k} (c_{1\varepsilon} P - c_{2\varepsilon} \varepsilon) \right) \quad (2.29)$$

## 2.2 Governing equations in a sigma coordinate system

The equations are solved using a vertical  $\sigma$ -transformation

$$t' = t, \quad x' = x, \quad y' = y, \quad \sigma = \frac{z + d}{h} \quad (2.30)$$

where  $\sigma$  varies between 0 at the bottom and 1 at the surface. The chain rule is applied to obtain partial derivatives of the function  $f = f(t, x, y, z)$

$$\frac{\partial f}{\partial t} = \frac{\partial f}{\partial t'} + \frac{\partial f}{\partial \sigma} \frac{\partial \sigma}{\partial t} \quad (2.31)$$

$$\frac{\partial f}{\partial x} = \frac{\partial f}{\partial x'} + \frac{\partial f}{\partial \sigma} \frac{\partial \sigma}{\partial x} \quad (2.32)$$

$$\frac{\partial f}{\partial y} = \frac{\partial f}{\partial y'} + \frac{\partial f}{\partial \sigma} \frac{\partial \sigma}{\partial y} \quad (2.33)$$

$$\frac{\partial f}{\partial z} = \frac{\partial f}{\partial \sigma} \frac{\partial \sigma}{\partial z} \quad (2.34)$$

where

$$\frac{\partial \sigma}{\partial t} = -\sigma \frac{1}{h} \frac{\partial h}{\partial t} \equiv A_t \quad (2.35)$$

$$\frac{\partial \sigma}{\partial x} = -\frac{1}{h} \left( \sigma \frac{\partial h}{\partial x} - \frac{\partial d}{\partial x} \right) \equiv A_x \quad (2.36)$$

$$\frac{\partial \sigma}{\partial y} = -\frac{1}{h} \left( \sigma \frac{\partial h}{\partial y} - \frac{\partial d}{\partial y} \right) \equiv A_y \quad (2.37)$$

$$\frac{\partial \sigma}{\partial z} = \frac{1}{h} \equiv A_z \quad (2.38)$$

### 2.2.1 Navier-Stokes equations

In the sigma coordinate system, the governing equations are given as

$$\frac{\partial h}{\partial t'} + \frac{\partial hu}{\partial x'} + \frac{\partial hv}{\partial y'} + \frac{\partial h\omega}{\partial \sigma} = 0 \quad (2.39)$$

$$\frac{\partial hu}{\partial t'} + \frac{\partial hu^2}{\partial x'} + \frac{\partial hvu}{\partial y'} + \frac{\partial h\omega u}{\partial \sigma} = \quad (2.40)$$

$$fhv - \frac{h}{\rho_0} \left( \frac{\partial q}{\partial x'} + \frac{\partial q}{\partial \sigma} \frac{\partial \sigma}{\partial x} \right) - gh \frac{\partial \eta}{\partial x'} + hF_u + \frac{\partial}{\partial \sigma} \left( \frac{\nu_t^v}{h} \frac{\partial u}{\partial \sigma} \right)$$

$$\frac{\partial hv}{\partial t'} + \frac{\partial huv}{\partial x'} + \frac{\partial hv^2}{\partial y'} + \frac{\partial h\omega v}{\partial \sigma} =$$

$$-fhu - \frac{h}{\rho_0} \left( \frac{\partial q}{\partial y'} + \frac{\partial q}{\partial \sigma} \frac{\partial \sigma}{\partial y'} \right) - gh \frac{\partial \eta}{\partial y'} + hF_v + \frac{\partial}{\partial \sigma} \left( \frac{v_t^v}{h} \frac{\partial v}{\partial \sigma} \right)$$

(2.41)

$$\frac{\partial hw}{\partial t'} + \frac{\partial huw}{\partial x'} + \frac{\partial hvw}{\partial y'} + \frac{\partial h\omega w}{\partial \sigma} = -\frac{1}{\rho_0} \frac{\partial q}{\partial \sigma} + hF_w + \frac{\partial}{\partial \sigma} \left( \frac{v_t^v}{h} \frac{\partial w}{\partial \sigma} \right)$$

(2.42)

The modified vertical velocity,  $\omega$ , in the sigma coordinate system is given by

$$\omega = \frac{1}{h} \left( w + u \frac{\partial d}{\partial x} + v \frac{\partial d}{\partial y} - \sigma \left( \frac{\partial h}{\partial t} + u \frac{\partial h}{\partial x} + v \frac{\partial h}{\partial y} \right) \right)$$

(2.43)

The modified vertical velocity is the velocity across a level of constant  $\sigma$ . The horizontal diffusion terms are approximated by

$$hF_u \approx \frac{\partial}{\partial x} \left( 2hv_t^h \frac{\partial u}{\partial x} \right) + \frac{\partial}{\partial y} \left( hv_t^h \left( \frac{\partial u}{\partial y} + \frac{\partial v}{\partial x} \right) \right)$$

(2.44)

$$hF_v \approx \frac{\partial}{\partial x} \left( hv_t^h \left( \frac{\partial u}{\partial y} + \frac{\partial v}{\partial x} \right) \right) + \frac{\partial}{\partial y} \left( 2hv_t^h \frac{\partial v}{\partial y} \right)$$

(2.45)

$$hF_w \approx \frac{\partial}{\partial x} \left( hv_t^h \frac{\partial w}{\partial x} \right) + \frac{\partial}{\partial y} \left( hv_t^h \frac{\partial w}{\partial y} \right)$$

(2.46)

The surface and bottom boundary conditions for  $u$ ,  $v$  and  $\omega$  are

at  $z = \eta$

$$\omega = 0, \quad \left( \frac{\partial u}{\partial \sigma}, \frac{\partial v}{\partial \sigma} \right) = (0, 0)$$

(2.47)

at  $z = -d$

$$\omega = 0, \quad \left( \frac{\partial u}{\partial \sigma}, \frac{\partial v}{\partial \sigma} \right) = \frac{h}{\rho_0 v_t^v} (\tau_{bx}, \tau_{by})$$

(2.48)

The depth-averaged continuity equation becomes

$$\frac{\partial h}{\partial t'} + \frac{\partial h\bar{u}}{\partial x'} + \frac{\partial h\bar{v}}{\partial y'} = 0$$

(2.49)

where  $\bar{u}$  and  $\bar{v}$  are the depth-averaged velocities

$$\bar{u} = \int_0^1 u d\sigma, \quad \bar{v} = \int_0^1 v d\sigma = 0$$

(2.50)

In matrix form the continuity equations and the momentum equations may be written

$$\frac{\partial h}{\partial t'} + \nabla \cdot \mathbf{F}^c = 0 \quad (2.51)$$

$$\frac{\partial \mathbf{U}}{\partial t'} + \nabla \cdot \mathbf{F}^m = \mathbf{S}_h + \mathbf{S}_q \quad (2.52)$$

where  $\mathbf{F}^c = (F_x^c, F_y^c)^T = (h\bar{u}, h\bar{v})^T$ ,  $\mathbf{U} = (hu, hv, hw)^T$  and  $\mathbf{F}^m = \mathbf{F}^{mc} - \mathbf{F}^{md} = (\mathbf{F}_x^m, \mathbf{F}_y^m, \mathbf{F}_\sigma^m)^T$ .

The flux components and the source terms can be written

$$\mathbf{F}_x^{mc} = \begin{pmatrix} hu u + \frac{1}{2}g(\eta^2 + 2\eta d) \\ huv \\ huw \end{pmatrix} \quad \mathbf{F}_y^{mc} = \begin{pmatrix} h v v + \frac{1}{2}g(\eta^2 + 2\eta d) \\ hvv \\ hvw \end{pmatrix} \quad \mathbf{F}_\sigma^{mc} = \begin{pmatrix} hu\omega \\ hv\omega \\ hw\omega \end{pmatrix} \quad (2.53)$$

$$\mathbf{F}_x^{md} = \begin{pmatrix} 2hv_t^h \frac{\partial u}{\partial x} \\ hv_t^h \left( \frac{\partial u}{\partial y} + \frac{\partial v}{\partial x} \right) \\ hv_t^h \frac{\partial w}{\partial x} \end{pmatrix} \quad \mathbf{F}_y^{md} = \begin{pmatrix} hv_t^h \left( \frac{\partial u}{\partial y} + \frac{\partial v}{\partial x} \right) \\ 2hv_t^h \frac{\partial v}{\partial y} \\ hv_t^h \frac{\partial w}{\partial y} \end{pmatrix} \quad \mathbf{F}_\sigma^{md} = \begin{pmatrix} \frac{v_t^v}{h} \frac{\partial u}{\partial \sigma} \\ \frac{v_t^v}{h} \frac{\partial v}{\partial \sigma} \\ \frac{v_t^v}{h} \frac{\partial w}{\partial \sigma} \end{pmatrix} \quad (2.54)$$

$$\mathbf{S}_h = \begin{pmatrix} g\eta \frac{\partial d}{\partial x'} + fhv \\ g\eta \frac{\partial d}{\partial y'} - fhu \\ 0 \end{pmatrix} \quad \mathbf{S}_q = -\frac{1}{\rho_0} \begin{pmatrix} h \left( \frac{\partial q}{\partial x'} + \frac{\partial q}{\partial \sigma} \frac{\partial \sigma}{\partial x'} \right) \\ h \left( \frac{\partial q}{\partial y'} + \frac{\partial q}{\partial \sigma} \frac{\partial \sigma}{\partial y'} \right) \\ \frac{\partial q}{\partial \sigma} \end{pmatrix} \quad (2.55)$$

To give a conservative formulation, the gravity surface terms are split into two terms (see Chippada (1998), Rogers (2001), Quecedo (2002), Liang or Borthwick (2009))

$$gh \frac{\partial \eta}{\partial x'} = \frac{1}{2}g \frac{\partial(h^2 - d^2)}{\partial x'} - g\eta \frac{\partial d}{\partial x'} = \frac{1}{2}g \frac{\partial(\eta^2 + 2\eta d)}{\partial x'} - g\eta \frac{\partial d}{\partial x'} \quad (2.56)$$

$$gh \frac{\partial \eta}{\partial y'} = \frac{1}{2}g \frac{\partial(h^2 - d^2)}{\partial y'} - g\eta \frac{\partial d}{\partial y'} = \frac{1}{2}g \frac{\partial(\eta^2 + 2\eta d)}{\partial y'} - g\eta \frac{\partial d}{\partial y'} \quad (2.57)$$

It is easily seen that if  $\eta$  is constant, the two terms cancel exactly. In the discrete case, this is also true if the two derivatives are calculated using the same scheme.

## 2.2.2 Turbulence model

In the sigma coordinate system the transport equations for the  $k$ - $\epsilon$  model are given as

$$\frac{\partial hk}{\partial t'} + \frac{\partial huk}{\partial x'} + \frac{\partial hvk}{\partial y'} + \frac{\partial h\omega k}{\partial \sigma} = hF_k + \frac{\partial}{\partial \sigma} \left( \frac{v_t^v}{h\sigma_k} \frac{\partial k}{\partial \sigma} \right) + h(P - \epsilon) \quad (2.58)$$

$$\frac{\partial h\varepsilon}{\partial t'} + \frac{\partial hu\varepsilon}{\partial x'} + \frac{\partial hv\varepsilon}{\partial y'} + \frac{\partial h\omega\varepsilon}{\partial \sigma} = hF_\varepsilon + \frac{\partial}{\partial \sigma} \left( \frac{v_t^v}{h\sigma_\varepsilon} \frac{\partial \varepsilon}{\partial \sigma} \right) + h \frac{\varepsilon}{k} (c_{1\varepsilon}P - c_{2\varepsilon}\varepsilon) \quad (2.59)$$

The horizontal diffusion terms are defined as

$$hF_k = \frac{\partial}{\partial x} \left( \frac{hv_t^h}{\sigma_k} \frac{\partial k}{\partial x} \right) + \frac{\partial}{\partial y} \left( \frac{hv_t^h}{\sigma_k} \frac{\partial k}{\partial y} \right) \quad (2.60)$$

$$hF_\varepsilon = \frac{\partial}{\partial x} \left( \frac{hv_t^h}{\sigma_\varepsilon} \frac{\partial \varepsilon}{\partial x} \right) + \frac{\partial}{\partial y} \left( \frac{hv_t^h}{\sigma_\varepsilon} \frac{\partial \varepsilon}{\partial y} \right) \quad (2.61)$$

In matrix form the transport equations for  $k$  and  $\varepsilon$  may be written

$$\frac{\partial \mathbf{U}}{\partial t'} + \nabla \cdot \mathbf{F}^t = \mathbf{S}_t \quad (2.62)$$

where  $\mathbf{U} = (hk, h\varepsilon)^T$  and  $\mathbf{F}^t = \mathbf{F}^{tc} - \mathbf{F}^{td} = (\mathbf{F}_x^t, \mathbf{F}_y^t, \mathbf{F}_\sigma^t)^T$ . The flux components and the source terms can be written

$$\mathbf{F}_x^{tc} = \begin{pmatrix} huk \\ hu\varepsilon \end{pmatrix} \quad \mathbf{F}_y^{tc} = \begin{pmatrix} hvk \\ hv\varepsilon \end{pmatrix} \quad \mathbf{F}_\sigma^{tc} = \begin{pmatrix} h\omega k \\ h\omega\varepsilon \end{pmatrix} \quad (2.63)$$

$$\mathbf{F}_x^{td} = \begin{pmatrix} \frac{hv_t^h}{\sigma_k} \frac{\partial k}{\partial x} \\ \frac{hv_t^h}{\sigma_\varepsilon} \frac{\partial \varepsilon}{\partial x} \end{pmatrix} \quad \mathbf{F}_y^{td} = \begin{pmatrix} \frac{hv_t^h}{\sigma_k} \frac{\partial k}{\partial y} \\ \frac{hv_t^h}{\sigma_\varepsilon} \frac{\partial \varepsilon}{\partial y} \end{pmatrix} \quad \mathbf{F}_\sigma^{td} = \begin{pmatrix} \frac{v_t^v}{h\sigma_\varepsilon} \frac{\partial k}{\partial \sigma} \\ \frac{v_t^v}{h\sigma_\varepsilon} \frac{\partial \varepsilon}{\partial \sigma} \end{pmatrix} \quad (2.64)$$

$$\mathbf{S}_t = \begin{pmatrix} h(P - \varepsilon) \\ \frac{h\varepsilon}{k} (c_{1\varepsilon}P - c_{2\varepsilon}\varepsilon) \end{pmatrix} \quad (2.65)$$



### 3 Numerical method

The discretization in solution domain is performed using a cell-centered finite volume method (CCFV). The spatial domain is discretized by subdivision of the continuum into non-overlapping control volumes and by evaluating the field equations in integral form on these cells.

#### 3.1 Mesh and discretization scheme

##### 3.1.1 Mesh

The computational mesh is based on the unstructured meshes approach, which gives the maximum degree of flexibility. Control of node distribution allows for optimal usage of nodes and adaptation of mesh resolution to the relevant physical scales. The use of unstructured meshes also makes it possible to handle problems characterized by computational domains with complex boundaries.

The 3D mesh is a layered mesh. In the horizontal domain an unstructured mesh is used while in the vertical domain a structured mesh is used (see Figure 3.1). The elements are prisms with either a 3-sided or 4-sided polygonal base. Hence, the horizontal faces are either triangles or quadrilateral elements. The elements are perfectly vertical, and all layers have identical horizontal topology.

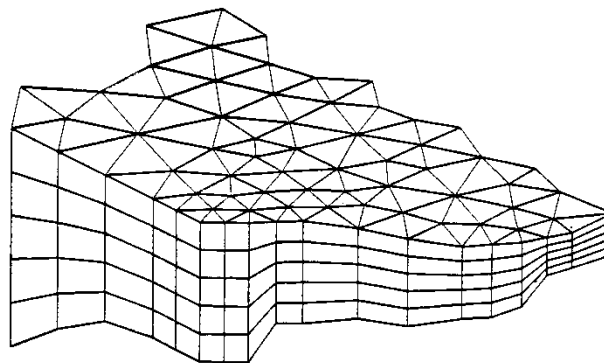


Figure 3.1 Principle of meshing

For the vertical discretization both a standard sigma discretization and a combined sigma/z-level discretization can be used. For the hybrid sigma/z-level discretization, sigma coordinates are used from the free surface to a specified depth,  $z_0$ , and z-level coordinates are used below. The different types of vertical discretization are illustrated in Figure 3.2. At least one sigma layer is needed using the sigma/z-level discretization to allow changes in the surface elevation.

#### Sigma

In the sigma domain a constant number of layers,  $N_\sigma$ , is used, and the height of each sigma layer is a fixed fraction of the total depth of the sigma domain,  $h_\sigma$ , where  $h_\sigma = \eta - \max(z_b, z_\sigma)$ . The discretization in the sigma domain is given by a number of discrete  $\sigma$ -levels  $\{\sigma_i, i = 1, (N_\sigma + 1)\}$ . Here  $\sigma$  varies from  $\sigma_1 = 0$  at the bottom interface of the lowest sigma layer to  $\sigma_{N_\sigma+1} = 1$  at the free surface.

Variable sigma coordinates can be obtained using a discrete formulation of the general vertical coordinate (s-coordinate) system proposed by Song and Haidvogel (1994). First an equidistant discretization in an s-coordinate system ( $-1 \leq s \leq 0$ ) is defined

$$s_i = -\frac{N_\sigma + 1 - i}{N_\sigma} \quad i = 1, (N_\sigma + 1) \quad (3.1)$$

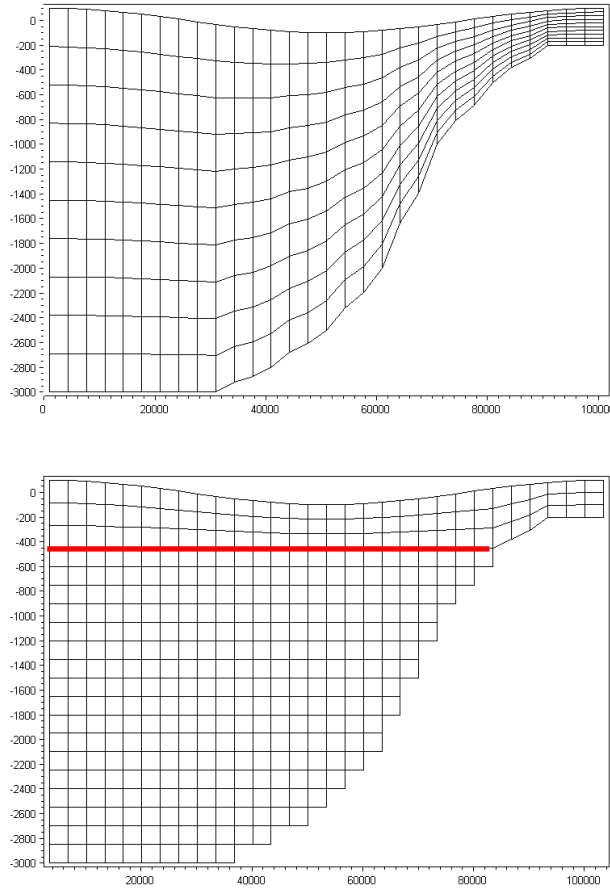


Figure 3.2 Illustrations of the different vertical grids. Upper: sigma mesh, Lower: combined sigma/z-level mesh with simple bathymetry adjustment. The red line shows the interface between the z-level domain and the sigma-level domain

The discrete sigma coordinates can then be determined by

$$\sigma_i = 1 + \sigma_c s_i + (1 - \sigma_c) c(s_i) \quad i = 1, (N_\sigma + 1) \quad (3.2)$$

where

$$c(s) = (1 - b) \frac{\sinh(\theta s)}{\sinh(\theta)} + b \frac{\tanh\left(\theta\left(s + \frac{1}{2}\right)\right) - \tanh\left(\frac{\theta}{2}\right)}{2 \tanh\left(\frac{\theta}{2}\right)} \quad (3.3)$$

Here  $\sigma_c$  is a weighting factor between the equidistant distribution and the stretch distribution,  $\theta$  is the surface control parameter, and  $b$  is the bottom control parameter. The

range for the weighting factor is  $0 < \sigma_c \leq 1$  where the value 1 corresponds to equidistant distribution, and 0 corresponds to stretched distribution. A small value of  $\sigma_c$  can result in linear instability. The range of the surface control parameter is  $0 < \theta < 20$ , and the range of the bottom control parameter is  $0 \leq b \leq 1$ . If  $\theta \ll 1$  and  $b = 0$ , an equidistant vertical resolution is obtained. By increasing the value of  $\theta$ , the highest resolution is achieved near the surface. If  $\theta > 0$  and  $b = 1$ , a high resolution is obtained both near the surface and near the bottom.

Examples of a mesh using variable vertical discretization are shown in Figure 3.3 and Figure 3.4.

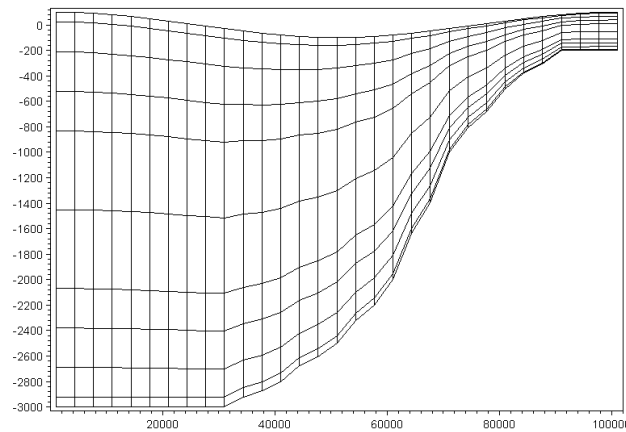


Figure 3.3 Example of vertical distribution using layer thickness distribution. Number of layers: 10, thickness of layers 1 to 10: .025, 0.075, 0.1, 0.01, 0.02, 0.02, 0.1, 0.1, 0.075, 0.025

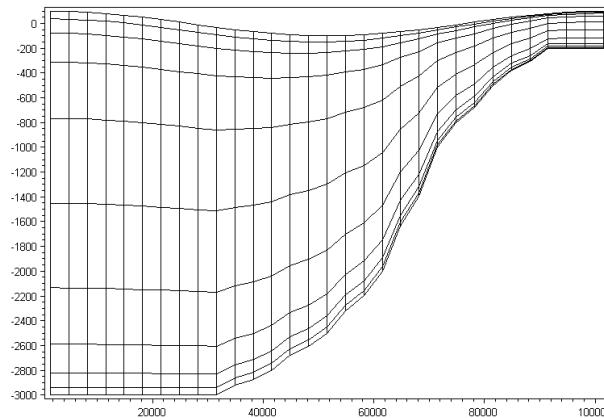


Figure 3.4 Example of vertical distribution using variable distribution. Number of layers: 10,  $\sigma_c = 0.1$ ,  $\theta = 5$ ,  $b = 1$

### Combined sigma/z-level

In the z-level domain the discretization is given by a number of discrete z-levels  $\{z_i, i = 1, (N_z + 1)\}$ , where  $N_z$  is the number of layers in the z-level domain.  $z_1$  is the minimum z-level, and  $z_{N_z+1}$  is the maximum z-level, which is equal to the sigma depth,  $z_\sigma$ . The corresponding layer thickness is given by

$$\Delta z_i = z_{i+1} - z_i \quad i = 1, N_z \quad (3.4)$$

The discretization is illustrated in Figure 3.5 and Figure 3.6.

Using standard z-level discretization the bottom depth is rounded to the nearest z-level. Hence, for a cell in the horizontal mesh with the cell-averaged depth,  $z_b$ , each cell in the corresponding column in the z-domain is only included if the following criterion is satisfied

$$z_{i+1} - z_b \geq \frac{1}{2}(z_{i+1} - z_i) \quad i = 1, N_z \quad (3.5)$$

The cell-averaged depth,  $z_b$ , is calculated as the mean value of the depth at the vertices of each cell in the horizontal mesh. To take into account the correct depth for the case where the bottom depth is below the minimum z-level ( $z_1 > z_b$ ) a bottom fitted approach is used. Here, a correction factor,  $f_1$ , for the layer thickness in the bottom cell is introduced. The correction factor is used in the calculation of the volume and vertical face integrals. The correction factor for the bottom cell is calculated by

$$f_1 = \frac{(z_2 - z_b)}{\Delta z_1} \quad (3.6)$$

The corrected layer thickness is given by  $\Delta z_1^* = f_1 \Delta z_1$ . The simple bathymetry adjustment approach is illustrated in Figure 3.5.

For a more accurate representation of the bottom depth an advanced bathymetry adjustment approach can be used. For a cell in the horizontal mesh with the cell-averaged depth,  $z_b$ , each cell in the corresponding column in the z-domain is included if the following criterion is satisfied

$$z_{i+1} > z_b \quad i = 1, N_z \quad (3.7)$$

A correction factor,  $f_i$ , is introduced for the layer thickness for these cells

$$f_i = \min \left( \max \left( \frac{(z_{i+1} - z_b)}{\Delta z_i}, \frac{z_{min}}{\Delta z_i} \right), 1 \right) \quad i = 2, N_z$$

$$f_i = \max \left( \frac{(z_{i+1} - z_b)}{\Delta z_i}, \frac{z_{min}}{\Delta z_i} \right) \quad i = 1 \quad (3.8)$$

A minimum layer thickness,  $\Delta z_{min}$ , is introduced to avoid very small values of the correction factor. The correction factor is used in the calculation of the volume and vertical face integrals. The corrected layer thicknesses are given by  $\{\Delta z_i^* = f_i \Delta z_i, i = 1, N_z\}$ . The advanced bathymetry adjustment approach is illustrated in Figure 3.6.

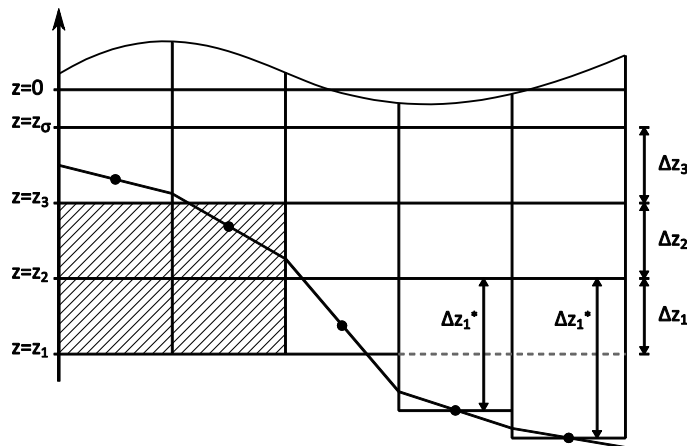


Figure 3.5 Simple bathymetry adjustment approach

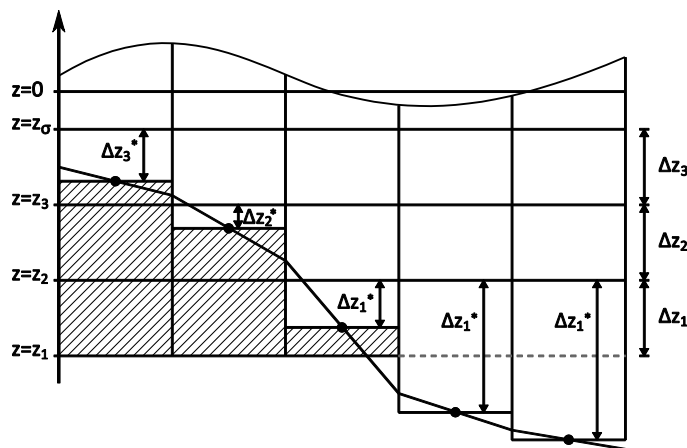


Figure 3.6 Advanced bathymetry adjustment approach

### 3.1.2 Discretization scheme

The discrete solution for the water depth,  $h$ , is defined at the centroid of the elements of the 2D horizontal mesh. The discrete solutions for the velocity components,  $u$ ,  $v$  and  $w$ , and the turbulent variables,  $k$  and  $\varepsilon$ , are defined at the centroid of the elements of the 3D mesh. The non-hydrostatic pressure,  $q$ , is positioned in the centroid of the horizontal cell faces as shown in Figure 3.7. The location of the discrete non-hydrostatic pressure secures an exact representation of the surface boundary condition. The modified vertical velocity,  $\omega$ , is also positioned in the centroid of the horizontal cell faces. The coordinates of the centroids are the averages of the coordinates of the cells vertices.

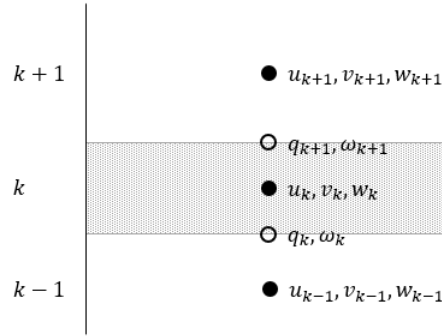


Figure 3.7 Vertical variable arrangement around layer  $k$ . Velocity components,  $u, v$  and  $w$ , are located in cell centers; non-hydrostatic pressure,  $q$ , is located in cell interfaces

## 3.2 Finite volume method

The matrix form of the governing equations presented in Chapter 2 can be written as

$$\frac{\partial \mathbf{W}}{\partial t} + \nabla \cdot \mathbf{F}(\mathbf{W}) = \mathbf{S} \quad (3.9)$$

Integrating Eq. 3. 9 over the  $i$ th cell and using Gauss's theorem to rewrite the flux integral gives

$$\int_{V_i} \frac{\partial \mathbf{W}}{\partial t} d\Omega + \int_{\Gamma_i} (\mathbf{F}(\mathbf{W}) \cdot \mathbf{n}) d\Gamma = \int_{V_i} \mathbf{S}(\mathbf{W}) d\Omega \quad (3.10)$$

where  $V_i$  is the volume of the  $i$ th cell,  $\Omega$  is the integration variable defined on  $V_i$ ,  $\Gamma_i$  is the boundary of the  $i$ th cell and  $\Gamma$  is the integration variable along the boundary.  $\mathbf{n} = (n_x, n_y, n_z)^T$  is the unit outward normal vector along the boundary. Evaluating the volume integrals by a one-point quadrature rule, the quadrature point being the centroid of the cell, and evaluating the boundary integral using a mid-point quadrature rule, Eq. 3.10 can be written

$$\frac{\partial \mathbf{W}_i}{\partial t} + \frac{1}{V_i} \sum_j^{NF} \mathbf{F} \cdot \mathbf{n}_{ij} \Delta \Gamma_{ij} = \mathbf{S}_i \quad (3.11)$$

Here  $\mathbf{W}_i$  and  $\mathbf{S}_i$ , respectively, are average values of  $\mathbf{W}$  and  $\mathbf{S}$  over the  $i$ th cell and stored at the cell centre.  $NF$  is the number of faces of the cell and the face  $ij$  is common to the cells associated with  $\mathbf{W}_i$  and  $\mathbf{W}_j$ .  $\Delta \Gamma_{ij}$  is the area of the face  $ij$ , and  $\mathbf{n}_{ij}$  is the restriction of  $\mathbf{n}$  to the face  $ij$ .

The normal flux  $\mathbf{F}_n(\mathbf{W}_L, \mathbf{W}_R) = \mathbf{F}(\mathbf{W}_L, \mathbf{W}_R) \cdot \mathbf{n}_{ij}$  is determined from the variables,  $\mathbf{W}_L$  and  $\mathbf{W}_R$ , to the left and right of the face  $ij$ . Using a first order scheme  $\mathbf{W}_L = \mathbf{W}_i$  and  $\mathbf{W}_R = \mathbf{W}_j$ . Second-order spatial accuracy is achieved by employing a linear gradient-reconstruction technique. The face value at the vertical faces for the variable  $W$  in cell  $i$  is obtained by

$$W(x, y) = W_i + \nabla W_i \cdot \mathbf{r} \quad (3.12)$$

where  $(x, y)$  is the point where the value is required,  $\mathbf{r}$  is the distance vector from the cell centre to the point  $(x, y)$  and  $\nabla W_i$  is the gradient vector. For estimation of the gradient vector the Green-Gauss gradient approach is utilized. Here the procedure proposed by

Jawahar and Kamath (2000) is used. This procedure is based on a wide computational stencil to improve accuracy also for meshes with poor connectivity. The vertex (node) value is computed using the pseudo-Laplacian procedure proposed by Holmes and Connell (1989).

### 3.3 Numerical solution of the Navier-Stokes equations

#### 3.3.1 Time integration

The time integration of the Navier-Stokes equations is performed using a semi-implicit scheme. The vertical convective and diffusive terms are discretized using an implicit scheme to remove the stability limitations associated with the vertical resolution. Here a second order implicit trapezoidal method is used (see Lambert (1973) and Hirsch (1990)). The remaining terms are discretized using a two-stage explicit second-order Runge-Kutta scheme (the midpoint method). The non-hydrostatic pressure is treated by a fractional step approach developed by Chorin (1968) called the projection method which is based on the Helmholtz-Hodge decomposition. In the sigma coordinate system, the integration procedure is

Stage 1:

$$\frac{h^{n+1/2} - h^n}{\Delta t/2} = - \left( \frac{\partial F_x^c}{\partial x'} + \frac{\partial F_y^c}{\partial y'} \right)^n \quad (3.13)$$

$$\frac{\mathbf{U}^* - \mathbf{U}^n}{\Delta t/2} = - \left( \frac{\partial \mathbf{F}_x^m}{\partial x'} + \frac{\partial \mathbf{F}_y^m}{\partial y'} \right)^n - \frac{1}{2} \left( \left( \frac{\partial \mathbf{F}_\sigma^m}{\partial \sigma} \right)^* + \left( \frac{\partial \mathbf{F}_\sigma^m}{\partial \sigma} \right)^n \right) + \mathbf{S}_h^n + \mathbf{S}_q^n \quad (3.14)$$

$$\frac{\mathbf{U}^{n+1/2} - \mathbf{U}^*}{\Delta t/2} = \mathbf{S}_q^* \quad (3.15)$$

Stage 2:

$$\frac{h^{n+1} - h^n}{\Delta t} = - \left( \frac{\partial F_x^c}{\partial x'} + \frac{\partial F_y^c}{\partial y'} \right)^{n+1/2} \quad (3.16)$$

$$\frac{\mathbf{U}^* - \mathbf{U}^n}{\Delta t} = - \left( \frac{\partial \mathbf{F}_x^m}{\partial x'} + \frac{\partial \mathbf{F}_y^m}{\partial y'} \right)^{n+1/2} - \frac{1}{2} \left( \left( \frac{\partial \mathbf{F}_\sigma^m}{\partial \sigma} \right)^* + \left( \frac{\partial \mathbf{F}_\sigma^m}{\partial \sigma} \right)^n \right) + \mathbf{S}_h^{n+1/2} + \mathbf{S}_q^{n+1/2} \quad (3.17)$$

$$\frac{\mathbf{U}^{n+1} - \mathbf{U}^*}{\Delta t} = \mathbf{S}_q^* \quad (3.18)$$

Calculating  $\mathbf{S}_q^*$  requires knowledge of the non-hydrostatic pressure,  $q$ . The pressure is calculated solving a Poisson equation. The modified vertical velocity is calculated after the update of the water depth from Eq. 2.44.

Due to the explicit scheme, the time step interval,  $\Delta t$ , is restricted by the Courant-Friedrichs-Lewy (CFL) condition

$$C = \Delta t \frac{(\sqrt{gh} + |u|) + (\sqrt{gh} + |v|)}{\Delta l} \leq C_{max} \quad (3.19)$$

where  $C$  is the Courant number and  $\Delta l$  is a characteristic length.  $C_{max}$  is the maximum Courant number and must be less than or equal to 1. A variable time step interval is used in the time integration of the Navier-Stokes equations and determined so that the Courant number is less than a maximum Courant number in all computational nodes. The characteristic length for a prism, where the horizontal face is a quadrilateral element, is determined as the area of the element divided by the longest edge length of the element. If the horizontal face is a triangular element, the characteristic length is two times the area divided by the longest edge length.

### 3.3.2 Poisson equation

The Poisson equation is derived by differentiating the three components of the vector Eq. (3.15) and (3.18) by  $x'$ ,  $y'$  and  $\sigma$  and substituting the resulting expressions back into the continuity equation

$$\frac{\partial u}{\partial x'} + \frac{\partial u}{\partial \sigma} \frac{\partial \sigma}{\partial x} + \frac{\partial v}{\partial y'} + \frac{\partial v}{\partial \sigma} \frac{\partial \sigma}{\partial y} + \frac{1}{h} \frac{\partial w}{\partial \sigma} = 0 \quad (3.20)$$

The resulting Poisson equation in sigma coordinates reads

$$\begin{aligned} \frac{\partial^2 q}{\partial x'^2} + \frac{\partial^2 q}{\partial y'^2} + (A_x^2 + A_y^2 + A_z^2) \frac{\partial^2 q}{\partial \sigma^2} + 2A_x \frac{\partial^2 q}{\partial x' \partial \sigma} + 2A_y \frac{\partial^2 q}{\partial y' \partial \sigma} + \\ \left( \frac{\partial A_x}{\partial x'} + \frac{\partial A_y}{\partial y'} \right) \frac{\partial q}{\partial \sigma} = \frac{\rho_0}{\Delta t^*} \left( \frac{\partial u^*}{\partial x'} + \frac{\partial v^*}{\partial y'} + A_x \frac{\partial u^*}{\partial \sigma} + A_y \frac{\partial v^*}{\partial \sigma} + A_z \frac{\partial w^*}{\partial \sigma} \right) \end{aligned} \quad (3.21)$$

In the first stage  $\Delta t^* = \Delta t/2$ , and in the second stage  $\Delta t^* = \Delta t$ . The Poisson equation in Cartesian coordinate reads

$$\frac{\partial^2 q}{\partial x^2} + \frac{\partial^2 q}{\partial y^2} + \frac{\partial^2 q}{\partial z^2} = \frac{\rho_0}{\Delta t^*} \left( \frac{\partial u^*}{\partial x} + \frac{\partial v^*}{\partial y} + \frac{\partial w^*}{\partial z} \right) \quad (3.22)$$

The surface and bottom boundary conditions for the non-hydrostatic pressure,  $q$ , in the sigma coordinate system are

$$\text{at } z = \eta$$

$$q = 0 \quad (3.23)$$

$$\text{at } z = -d$$

$$\frac{\partial q}{\partial \sigma} = 0 \quad (3.24)$$

For applications where the still water depth,  $d$ , is changing in time the following bottom boundary condition is used

$$\frac{\partial q}{\partial \sigma} = \rho_0 h \frac{\partial^2 d}{\partial t^2} \quad (3.25)$$

In a Cartesian coordinate system, the boundary condition at the bottom is

$$\frac{\partial q}{\partial z} = 0 \quad (3.26)$$



### 3.3.3 Space discretization

The space discretization is performed using the finite volume method as described in Section 3.2. In this section the focus is on the discretization for the equations in the sigma coordinate system.

The normal convective flux  $\mathbf{F}_n(\mathbf{U}) = (f_1, f_2, f_3, f_4)$  at the vertical faces in the sigma domain can be written

$$\mathbf{F}_n(\mathbf{U}) = \begin{pmatrix} hu_{\perp} \\ huu_{\perp} + \frac{1}{2}g(\eta^2 + 2\eta d)n_x \\ hvu_{\perp} + \frac{1}{2}g(\eta^2 + 2\eta d)n_y \\ hwu_{\perp} \end{pmatrix} \quad (3.27)$$

where  $\mathbf{U} = (h, hu, hv, hw)^T$  is the solution vector, and  $u_{\perp} = un_x + vn_y$  is the velocity perpendicular to the cell face. Here  $f_1$  is the contribution to the continuity equation, and  $f_2, f_3$  and  $f_4$  are the contributions to the three momentum equations. This flux is reconstructed at cell-interfaces using the HLLC scheme introduced by Toro et al. (1994) for solving the Euler equations. The shock-capturing scheme enables robust and stable simulation of flows involving shocks or discontinuities such as bores and hydraulic jumps. This is essential for modelling of waves in the breaking zone or porous structures. The interface flux is computed as follows (see Toro (2001))

$$\mathbf{F}(\mathbf{U}_L, \mathbf{U}_R) \cdot \mathbf{n} = \begin{cases} \mathbf{F}_L & \text{if } S_L \geq 0 \\ \mathbf{F}_{*L} & \text{if } S_L < 0 \leq S_* \\ \mathbf{F}_{*R} & \text{if } S_* < 0 \leq S_R \\ \mathbf{F}_R & \text{if } S_R \leq 0 \end{cases} \quad (3.28)$$

where  $\mathbf{F}_L = \mathbf{F}_n(\mathbf{U}_L)$  and  $\mathbf{F}_R = \mathbf{F}_n(\mathbf{U}_R)$  are calculated from Eq 3.27, and the middle region fluxes,  $\mathbf{F}_{*L}$  and  $\mathbf{F}_{*R}$  are given by

$$\mathbf{F}_{*L} = \begin{pmatrix} e_1 \\ e_2 n_x - u_{\parallel L} e_1 n_y \\ e_2 n_y + u_{\parallel L} e_1 n_x \\ e_3 \end{pmatrix} \quad (3.29)$$

$$\mathbf{F}_{*R} = \begin{pmatrix} e_1 \\ e_2 n_x - u_{\parallel R} e_1 n_y \\ e_2 n_y + u_{\parallel R} e_1 n_x \\ e_3 \end{pmatrix} \quad (3.30)$$

Here  $u_{\parallel} = -un_y + vn_x$  is the velocity tangential to the cell face, and  $(e_1, e_2, e_3)$  is the component of the normal flux which is calculated using the HLL solver proposed by Harten et al. (1983)

$$\mathbf{E} = \frac{S_R \hat{\mathbf{E}}_L - S_L \hat{\mathbf{E}}_R + f_{HLLC} S_L S_R (\hat{\mathbf{U}}_R - \hat{\mathbf{U}}_L)}{S_R - S_L} \quad (3.31)$$

Here  $\hat{\mathbf{U}} = (h, hu_{\perp}, h\omega)^T$  and  $\hat{\mathbf{E}} = (hu_{\perp}, hu_{\perp} u_{\perp} + \frac{1}{2}g(\eta^2 + 2\eta d), h\omega)^T$ . To be able to scale the damping introduced by the HLLC solver a scaling factor  $f_{HLLC}$  has been introduced, where the factor must be in the interval  $[0, 1]$ . The scaling factor,  $f_{HLLC} = 1$ , corresponds to the standard HLLC solver.

An appropriate method for approximating the wave speeds is essential for the efficiency of the HLLC solver. Different approximations can be found in the literature, e.g. Fraccarollo and Toro (1994). Here the approach used by Song et al. (2011) is used

$$S_L = \begin{cases} u_{\perp R} - 2\sqrt{gh_R} & h_L = 0 \\ \min(u_{\perp L} - \sqrt{gh_L}, u_{\perp*} - \sqrt{gh_*}) & h_L > 0 \end{cases} \quad (3.32)$$

and

$$S_R = \begin{cases} u_{\perp L} + 2\sqrt{gh_L} & h_R = 0 \\ \max(u_{\perp R} + \sqrt{gh_R}, u_{\perp*} + \sqrt{gh_*}) & h_R > 0 \end{cases} \quad (3.33)$$

where the Roe-averaged quantities

$$u_{\perp*} = \frac{u_{\perp L}\sqrt{h_L} + u_{\perp R}\sqrt{h_R}}{\sqrt{h_L} + \sqrt{h_R}} \quad (3.34)$$

$$h_* = \frac{1}{2}(h_L + h_R) \quad (3.35)$$

The speed  $S_*$  is given by the

$$S_* = \frac{S_L h_R (u_{\perp R} - S_R) - S_R h_L (u_{\perp L} - S_L)}{h_R (u_{\perp R} - S_R) - h_L (u_{\perp L} - S_L)} \quad (3.36)$$

The convective flux at the horizontal faces is calculated using a second-order upwinding scheme. The diffusive flux at the cell interfaces is approximated by a central scheme. This vertical discretization results in a linear five-diagonal system which has to be solved for each column of the discrete momentum equation.

Discretization of the Poisson pressure equation is performed by integrating over the individual control volumes. The procedure results in a large sparse linear system that needs to be solved in each of the two stages in the time integration procedure. This sparse linear system of equations is solved using an iterative solver from the PETSc library, Balay (2017). More specifically, the iterative solver is the restarted Generalized Minimal Residual method (GMRES), which for single-subdomain simulations is preconditioned with a two-level incomplete LU factorization, ILU(2). For multi-subdomain simulations the Block Jacobi preconditioner is used, where each block is solved with ILU(2). Each block coincides with the division of variables over the processors. See Chapter 5 for further details on single- and multi-subdomain simulations.

### 3.3.4 Flooding and drying

The approach for treatment of the moving boundaries (flooding and drying fronts) problem is based on the work by Zhao et al. (1994) and Sleight et al. (1998). When the depths are small the problem is reformulated, and only when the depths are very small the elements/cells are removed from the calculation. The reformulation is made by setting the momentum fluxes to zero and only taking the mass fluxes into consideration.

The depth in each element is monitored and the elements are classified as dry, partially dry or wet. Also, the element faces are monitored to identify flooded element faces.

- An element face is defined as flooded if the water depth at one side of a face is less than a tolerance depth,  $h_{dry}$ , and the water depth at the other side of the face is larger than a tolerance depth,  $h_{wet}$ .
- An element is dry if the water depth is less than a tolerance depth,  $h_{dry}$ , and none of the element faces are flooded faces. The element is removed from the calculation.
- An element is partially dry if the water depth is larger than  $h_{dry}$  and less than a tolerance depth,  $h_{wet}$ , or when the depth is less than  $h_{dry}$ , and one of the element faces is a flooded face. The momentum fluxes are set to zero, and only the mass fluxes are calculated.
- An element is wet if the water depth is bigger than  $h_{wet}$ . Both the mass flux and the momentum flux are calculated.

A non-physical flow across the face will be introduced for a flooded face when the surface elevation in the wet element on one side of the face is lower than the bed level in the partially wet element on the other side. To overcome this problem the face will be treated as a closed boundary (Section 3.3.7).

In case the water depth becomes negative, the water depth is set to zero, and the water is subtracted from the adjacent elements to maintain mass balance. When this occur the water depth at the adjacent elements may become negative. Therefore, an iterative correction of the water depth is applied (max. 100 iterations). Normally only one or a few correction steps are needed.

### 3.3.5 Sponge layer

Sponge (or absorbing) layers can be used as efficient numerical wave absorbers in wave simulations. These could be set up along model boundaries to provide radiation boundary conditions, which absorb wave energy propagating out of the model area.

The implemented method is based on the sponge layer technique introduced by Larsen and Dancy (1983). In the sponge layer the calculated surface elevation,  $\eta$ , and the velocities  $u$ ,  $v$  and  $w$ , are corrected at every time step as

$$\eta = \frac{\eta - \eta^{ref}}{c} + \eta^{ref} \quad u = \frac{u}{c} \quad v = \frac{v}{c} \quad w = \frac{w}{c} \quad (3.37)$$

where  $c$  is the sponge coefficient, and  $\eta^{ref}$  is the reference level.

To minimize reflections, the values of the sponge layer coefficient,  $c$ , should be close to unity along the front edge of the sponge layer and should increase smoothly towards the closed/land boundary. When selecting the sponge layer coefficient,  $c$ , the following formula has been found to work well

$$c = a^{r/s/\Delta s}, \quad 0 \leq s \leq w \quad (3.38)$$

where  $w$  is the width of the sponge layer, and  $a$  and  $r$  are assigned constant values.  $s$  is the distance from the closed boundary, and  $\Delta s$  is the characteristic size of the elements in the sponge layer area. Depending on ratio  $w/\Delta s$  you may use the values listed in Table 3.1.

Table 3.1 Recommended values for sponge layer coefficients

$w/\Delta s$	$a$	$r$
10	5	0.5
20	7	0.7
50	10	0.85
100	10	0.92
200	10	0.95

### 3.3.6 Internal wave generation

The relaxation zone technique is applied for wave generation and absorption. Here a relaxation function is applied to introduce the analytical solution for the incoming waves smoothly into the calculation domain. The analytical solution is the target solution and contains values from the chosen wave theory for the surface elevation, the velocities and the pressure. The relaxation zone is defined as the area to the right of the polyline when positioned at the starting point and looking forward along the line (see Figure 3.8). The target and the computed solution are weighted in the relaxation zone after each step in the time integration

$$\theta = \alpha \theta_{Computed} + (1 - \alpha) \theta_{Target} \quad (3.39)$$

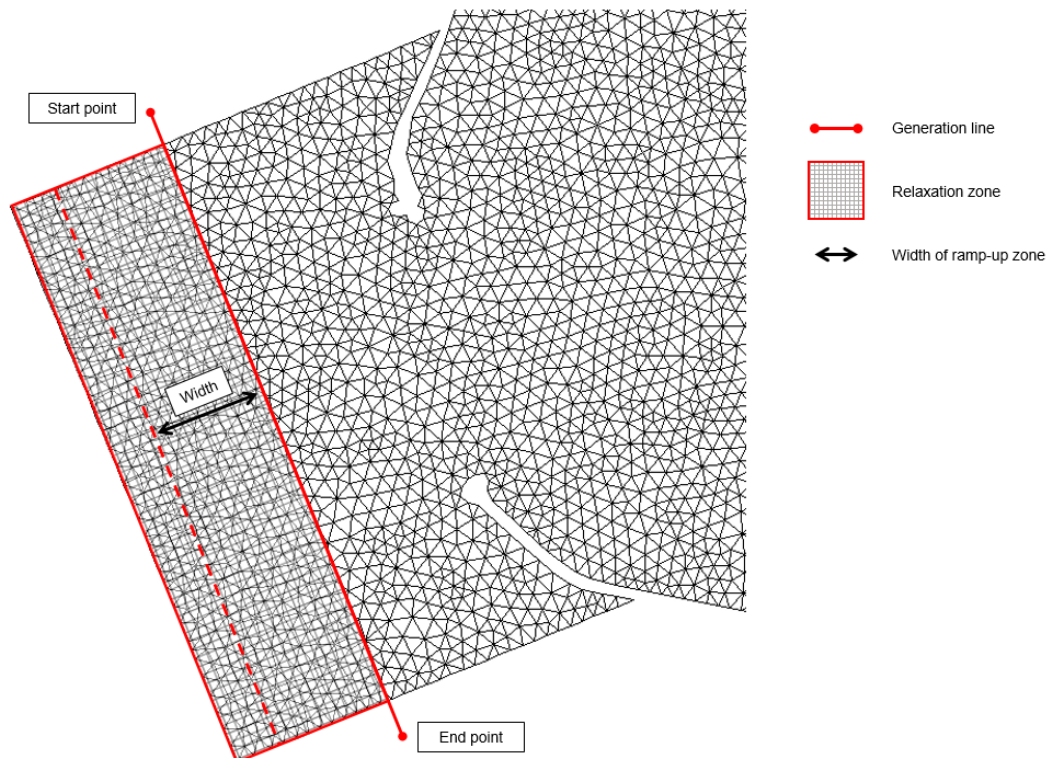


Figure 3.8 The relaxation zone is the area to the right of the generation line when looking forward along the line from the starting point. The width of the ramp-up zone is specified by the width parameter.

where  $\theta$  represents the surface elevation, velocity component and non-hydrostatic pressure. For the surface elevation and velocities the ramp up factor,  $\alpha$ , is given as

$$\begin{aligned}\alpha &= 1 - \frac{\exp(s^f) - 1}{\exp(1) - 1} & 0 \leq s \leq 1 \\ \alpha &= 0 & s > 1\end{aligned}\tag{3.40}$$

Here,  $s$  is the distance from the polyline divided by the width of the ramp up zone, and  $f$  is the ramp up factor. The value  $f = 3.5$  is applied. For the non-hydrostatic pressure,  $q$ , the target value is applied as a Dirichlet condition for  $s > 1$ . Hence, here the ramp up factor is given by

$$\begin{aligned}\alpha &= 1 & 0 \leq s \leq 1 \\ \alpha &= 0 & s > 1\end{aligned}\tag{3.41}$$

For unidirectional regular waves Stokes theory (1th and 5th order) and stream function theory (Fenton (1988)) can be applied. For irregular waves the single summation method is applied. Here a single direction is assigned to each discrete frequency. A range of standard formulations for the frequency spectrum and the directional distribution are applied.

### 3.3.7 Boundary conditions

At the lateral closed (solid) boundaries a free-slip boundary condition is imposed for the velocities: The normal flux is zero, and the tangential stress is set to zero. The normal flux at a closed boundary is therefore given as

$$\mathbf{F}_n(\mathbf{U}) = \begin{pmatrix} 0 \\ \frac{1}{2}g(\eta^2 + 2\eta d)n_x \\ \frac{1}{2}g(\eta^2 + 2\eta d)n_y \\ 0 \end{pmatrix}\tag{3.42}$$

At the lateral closed boundaries the normal pressure gradient is zero.

## 3.4 Numerical solution of the Transport equations

### 3.4.1 Time integration

The time integration is performed using either a first order explicit Euler method or a second-order explicit Runge-Kutta scheme (the midpoint method). However, to overcome the severe time step restriction due to small vertical grid spacing, the vertical convective and diffusive terms are treated implicitly. The vertical diffusion term is treated using a second order implicit trapezoidal method. The vertical convective term is treated either using the explicit method or an implicit Euler method, and the same method is used for all discrete equations in a column of the 3D mesh. The explicit method is used when the following criteria are satisfied

Sigma domain

$$\frac{\omega_i \Delta t}{\Delta \sigma_i} < \frac{1}{2} \quad (3.43)$$

z-level domain

$$\frac{w_i \Delta t}{\Delta z_i} < \frac{1}{2} \quad (3.44)$$

for all elements in the column. Here  $\omega_i$  is the modified vertical velocity and  $\Delta \sigma_i$  the vertical grid spacing in the sigma domain.  $w_i$  is the vertical velocity and  $\Delta z_i$  the vertical grid spacing in the z-level domain. Finally,  $\Delta t$  is the discrete time step interval. For details of the time integration methods, see Lambert (1973) and Hirsch (1990).

The transport equations for the  $k$ - $\varepsilon$  model are solved using the same time step as used for solving the Navier-Stokes equations.

### 3.4.2 Spatial discretization

The normal flux due to the convective terms at the vertical faces is calculated using simple upwinding. It is calculated as the mass flux times the values in the upwind direction. Both a first order and a second order scheme can be applied for the spatial discretization. The second order version approximates the horizontal gradients to obtain second order accurate values at the vertical faces (see Section 3.2). To provide stability and minimize oscillatory effects, an ENO (Essentially Non-Oscillatory) type procedure is applied to limit the horizontal gradients in combination with a simple min-max face value limiter. When the explicit time integration approach is used for the vertical convective terms, the calculation of the normal flux due to the convective terms at the horizontal faces is performed using a 3<sup>rd</sup> order ENO procedure (Shu, 1997). When the implicit time integration approach is used for the vertical convective terms the normal flux is calculated using a first-order upwinding scheme.

### 3.4.3 Boundary conditions

For lateral closed (solid) boundaries the normal convective flux is zero, and the normal gradient of the transport variables  $k$  and  $\varepsilon$  is zero.

## 3.5 Time stepping procedure

The solution is determined at sequence of discrete times

$$t^k = t^0 + k \Delta t_{overall} \quad k = 0, 1, 2, 3 \dots \quad (3.45)$$

where  $\Delta t_{overall}$  is the overall time step interval. The time steps for the hydrodynamic calculations are dynamic.

At the actual time  $t$  in the interval  $t^{k-1} < t \leq t^k$  the new time step interval is determined using the following procedure

$$\Delta t^* = c_{max} \min \left( \frac{\Delta l}{(\sqrt{gh_i} + |u_i|) + (\sqrt{gh_i} + |v_i|)} \right) \quad (3.46)$$

$$\Delta t^{**} = \min(\max(\Delta t, \Delta t_{min}), \Delta t_{max}) \quad (3.47)$$

$$\Delta t = \frac{t^k - t}{\text{int}\left(\frac{(t^k - t)}{\Delta t^{**}}\right) + 1} \quad (3.48)$$

Here  $\Delta t_{min}$  and  $\Delta t_{max}$  are the minimum and maximum time steps, respectively, and  $\text{int}$  is the whole number of  $(t^k - t)/\Delta t$ . This procedure secures that the time steps for the hydrodynamic calculations are synchronized at the overall discrete time steps.





## 4 Physics

### 4.1 Bottom stress

The bottom stress,  $\tau_b = (\tau_{bx}, \tau_{by})$  is determined by a quadratic friction law

$$\frac{\tau_b}{\rho_0} = c_f \mathbf{u}_b |\mathbf{u}_b| \quad (4.1)$$

where  $c_f$  is the drag coefficient, and  $\mathbf{u}_b = (u_b, v_b)$  is the flow velocity at a distance  $\Delta z_b$  above the sea bed, and the drag coefficient is determined by assuming a logarithmic profile between the seabed and a point  $\Delta z_b$  above the seabed

$$c_f = \frac{1}{\left( \frac{1}{\kappa} \ln \left( \max \left( \frac{\Delta z_b}{z_0}, 2 \right) \right) \right)^2} \quad (4.2)$$

where  $\kappa = 0.41$  is the von Kármán constant, and  $z_0$  is the bed roughness length scale. When the boundary surface is rough,  $z_0$ , depends on the roughness height,  $k_s$ , trough

$$z_0 = m k_s \quad (4.3)$$

where  $m$  is approximately 1/30.

The friction velocity is given by

$$U_{\tau b} = \sqrt{g |\mathbf{u}_b|^2} \quad (4.4)$$

A semi-implicit discretization is used to get a stable solution for small water depths, which for element  $i$  reads

First stage:

$$\frac{\tau_{bi}^{n+1/2}}{\rho_0} = c_f^n |\mathbf{u}_i^n| \mathbf{u}_i^* \quad (4.5)$$

Second stage:

$$\frac{\tau_{bi}^{n+1}}{\rho_0} = c_f^n |\mathbf{u}_i^{n+1/2}| \mathbf{u}_i^* \quad (4.6)$$

Here the  $*$  indicates the provisional value of the velocity.

### 4.2 Eddy viscosity

Both the vertical and horizontal eddy viscosity can be derived using Eq. 2.22. In some applications a constant eddy viscosity can be used for the horizontal eddy viscosity. Alternatively, Smagorinsky (1963) proposed to express sub-grid scale transports by an effective eddy viscosity related to a characteristic length scale. The subgrid scale eddy viscosity is given by

$$v_t^h = c_s^2 l^2 \sqrt{2(S_{xx}S_{xx} + 2S_{xy}S_{xy} + S_{yy}S_{yy})} \quad (4.7)$$

where  $c_s$  is a constant,  $l$  is a characteristic length and the deformation rate is given by

$$S_{xx} = \frac{\partial u}{\partial x} \quad S_{xy} = \frac{1}{2} \left( \frac{\partial u}{\partial y} + \frac{\partial v}{\partial x} \right) \quad S_{yy} = \frac{\partial v}{\partial y} \quad (4.8)$$

For more details on this formulation, the reader is referred to Lilly (1967), Leonard (1974), Aupoix (1984), and Horiuti (1987).

### 4.3 Porosity

For wave simulations, the governing equations have been modified to include porosity and the effects of non-Darcy flow through porous media. In this way, it is possible to model partial reflection, absorption and transmission of wave energy at porous structures such as rubble mound breakwaters.

The main effects of porosity are introduced by additional laminar and turbulent friction terms for describing losses due to flow through a porous structure. In most practical cases the pore sizes are relatively large (typically 0.1m to 1.0m), and the turbulent losses will dominate. The laminar loss term has also been included to allow the simulation of small scale physical model tests.

The flow resistance inside the porous structure is described by the linear and non-linear resistance forces expressed as

$$\mathbf{F} = \rho a \mathbf{u} + \rho b |\mathbf{u}| \mathbf{u} \quad (4.9)$$

where  $a$  and  $b$  are resistance coefficients accounting for the laminar and turbulent friction loss, respectively,  $\mathbf{u} = (u, v, w)$  is the velocity vector, and the magnitude of the flow velocity is defined by  $|\mathbf{u}| = \sqrt{u^2 + v^2 + w^2}$ .  $a$  and  $b$  are determined by the empirical expressions formulated by van Gent (1995) and Liu et al. (1999)

$$a = \alpha \frac{(1-n)^2}{n^3} \frac{\vartheta}{D_{50}^2} \quad (4.10)$$

$$b = \beta \left( 1 + \frac{7.5}{KC} \right) \frac{(1-n)}{n^2} \frac{1}{D_{50}} \quad (4.11)$$

Where  $n$  is the porosity,  $\alpha$  and  $\beta$  are user specified coefficients,  $\vartheta$  is the kinematic viscosity and  $D_{50}$  is the grain diameter of the porous materials.  $KC$  is the Keulegan-Carpenter number defined as

$$KC = \frac{u_m T}{n D_{50}} \quad (4.12)$$

where  $u_m$  is the maximum oscillating velocity, and  $T$  is the period of the oscillation.  $u_m$  is approximated by the magnitude of the flow velocity.

In the momentum equations the time derivative terms are multiplied by a factor  $(1 + C_m)$  where  $C_m$  is the added mass coefficient to take transient interaction between grains and water into account. van Gent (1995) gave  $C_m$  as

$$c_m = \gamma \frac{1 - n}{n} \quad (4.13)$$

where  $\gamma$  is an empirical coefficient, which takes the value 0.34.

In the transport equations for the  $k$ - $\varepsilon$  model additional production terms are included for porous media flow following the approach by Nakayama and Kuwahara (1999) and Hsu et al. (2002).

In the sigma domain the z-coordinate (in the physical domain) of the element centers is varying in time. Therefore the porosity has to be updated at each calculation step. Here, a bilinear interpolation is applied for mapping the specified porosity map onto the calculation mesh.



## 5 Parallelization

The MIKE 3 Wave Model FM is parallelized for shared-memory multiprocessor/multicore computers using OpenMP. This parallelization is performed by adding compiler directives to the code. To improve performance and to be able to perform simulations on large massively parallel distributed-memory computers and clusters, MIKE 3 Wave Model FM has also been parallelized using domain decomposition concept and Message Passing Interface (MPI). Given the number of processor cores allocated to a simulation, the computational mesh is partitioned into subdomains, and the workload associated with each domain is distributed between the allocated cores. The data exchange between domains is performed by message passing using the Intel MPI Library, which has multi fabric message passing capabilities. It allows the use of mixed communication between the domains. Thus, domains will exchange data via the fastest communication interface – in ranked order: shared memory, InfiniBand, Ethernet, etc.. The implementation uses a hybrid approach (OpenMP and MPI).

### 5.1 The domain decomposition

The domain partitioning is performed using the METIS graph partitioning library (Karypis and Kumar, (1998, 1999)). The computational mesh is converted into a graph, and then METIS uses a multi-level graph partitioning scheme to split the graph into subgraphs, representing the partitioned subdomains, which are distributed among the allocated cores. METIS computes a balanced partitioning that minimizes the connectivity of the subdomains. This partitioning is performed based on the 2D (horizontal) mesh. Using a 2D mesh to partition a 3D domain can cause unbalanced partitioning. When combined sigma/z-level discretization is used in 3D flow calculations, the number of vertical elements can vary significantly across the domain. This difference in the number of vertical elements can lead to an unbalanced partitioning. To get a balanced partitioning for a 3D mesh, weights corresponding to the actual number of vertical elements associated to each vertex of the graph are used. The partitioning is then made so that the sum of vertex-weights is the same for all subdomains. Hence, with both 2D and 3D meshes, the partitioning strategy ensures that the difference in the number of elements in all subdomains is minimized.

The chosen numerical scheme for the discretization in the spatial domain requires an overlapping domain decomposition. It is based on the halo-layer (“ghost”-cells) approach, where each subdomain contains elements from connected subdomains. This overlap is needed, because calculations require values from the connecting elements. Thus, calculations of some elements at the border between subdomains require values from the connected subdomains.

### 5.2 Data exchange

The data exchange between processes is based on the aforementioned halo-layer (“ghost”-cells) approach with overlapping elements. The extension of the halo-layer area depends on the numerical scheme used for the discretization in the spatial domain and which variables are chosen to be exchanged between subdomains. Here a two-element wide halo-layer is applied. The data exchanges are performed via asynchronous communication when possible, and synchronous communications are used in different parts of the system to ensure correct execution. The MIKE 3 Wave Model FM uses a dynamic time step in the time integration scheme. To ensure that the calculations are

performed with the same time step in all subdomains, time step information is exchanged between processes and thereby synchronizing the processes of each time step. Several special features require additional data exchange. These special interest points cause synchronization of two or more subdomains during the data exchange. The case of input and output data exchange is mentioned in the next subsection. Finally, information is exchanged between subdomains in connection with error handling. When the system encounters an error in the model, the error is distributed to the other processes when the time step is finished and the simulation is stopped.

### 5.3 Input and output

The input and output (I/O) is handled using a parallel I/O approach. The master process reads the global mesh information, performs the partitioning of the mesh and distributes the information about the individual subdomains to the slave processes. Each process then reads the additional input specifications using the generic specification file. The input data (porosity maps, sponge layer maps, etc.) are read by each process using the global data files. Since the individual processes perform I/O locally, the simulation data files must be accessible by each process. This access could be through a network-attached storage system or locally on each computer. The output data files from the simulations are written to private files for each subdomain. At the end of the simulation, the data files are merged to obtain data files containing global information.

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## APPENDICES



## APPENDIX A – Governing equations in spherical coordinates



## A Governing equations in spherical coordinates

In spherical coordinates the independent variables in the horizontal domain are the longitude,  $\lambda$ , and the latitude,  $\phi$ . The horizontal velocity field  $(u, v)$  is defined by

$$u = R \cos \phi \frac{d\lambda}{dt} \quad v = R \frac{d\phi}{dt} \quad (\text{A1.1})$$

where  $R$  is the radius of the earth.

### A.1 Governing equations in spherical coordinate system and z-coordinates

#### A.1.1 Navier-Stokes equations

The continuity and momentum equations are given as

$$\frac{1}{R \cos \phi} \left( \frac{\partial u}{\partial \lambda} + \frac{\partial v \cos \phi}{\partial \phi} \right) + \frac{\partial w}{\partial z} = 0 \quad (\text{A1.2})$$

$$\frac{\partial u}{\partial t} + \frac{1}{R \cos \phi} \left( \frac{\partial u^2}{\partial \lambda} + \frac{\partial v u \cos \phi}{\partial \phi} \right) + \frac{\partial w u}{\partial z} =$$

(A1.3)

$$\left( f + \frac{u}{R} \tan \phi \right) v - \frac{1}{R \cos \phi} \left( \frac{1}{\rho_0} \frac{\partial q}{\partial \lambda} + g \frac{\partial \eta}{\partial \lambda} \right) + F_u + \frac{\partial}{\partial z} \left( \nu_t^v \frac{\partial u}{\partial z} \right)$$

$$\frac{\partial v}{\partial t} + \frac{1}{R \cos \phi} \left( \frac{\partial u v}{\partial \lambda} + \frac{\partial v^2 \cos \phi}{\partial \phi} \right) + \frac{\partial w v}{\partial z} =$$

(A1.4)

$$- \left( f + \frac{u}{R} \tan \phi \right) u - \frac{1}{R \cos \phi} \left( \frac{1}{\rho_0} \frac{\partial q}{\partial \phi} + g \frac{\partial \eta}{\partial \phi} \right) + F_v + \frac{\partial}{\partial z} \left( \nu_t^v \frac{\partial v}{\partial z} \right)$$

$$\frac{\partial w}{\partial t} + \frac{1}{R \cos \phi} \left( \frac{\partial u w}{\partial \lambda} + \frac{\partial v w \cos \phi}{\partial \phi} \right) + \frac{\partial w^2}{\partial z} = - \frac{1}{\rho_0} \frac{\partial q}{\partial z} + F_w + \frac{\partial}{\partial z} \left( \nu_t^v \frac{\partial w}{\partial z} \right) \quad (\text{A1.5})$$

#### A.1.2 Transport equations

The transport equations for the  $k$ - $\varepsilon$  model are given as

$$\frac{\partial k}{\partial t} + \frac{1}{R \cos \phi} \left( \frac{\partial u k}{\partial \lambda} + \frac{\partial v k \cos \phi}{\partial \phi} \right) + \frac{\partial w k}{\partial z} = F_k + \frac{\partial}{\partial z} \left( \frac{\nu_t^v}{\sigma_k} \frac{\partial k}{\partial z} \right) + P - \varepsilon \quad (\text{A1.6})$$

$$\frac{\partial \varepsilon}{\partial t} + \frac{1}{R \cos \phi} \left( \frac{\partial u \varepsilon}{\partial \lambda} + \frac{\partial v \varepsilon \cos \phi}{\partial \phi} \right) + \frac{\partial w \varepsilon}{\partial z} = F_\varepsilon + \frac{\partial}{\partial z} \left( \frac{\nu_t^v}{\sigma_\varepsilon} \frac{\partial \varepsilon}{\partial z} \right) + \frac{\varepsilon}{k} (c_{1\varepsilon} P - c_{2\varepsilon} \varepsilon) \quad (\text{A1.7})$$

## A.2 Governing equations in spherical coordinate system and sigma coordinates

### A.2.1 Navier-Stokes equations

The continuity and momentum equations are given as

$$\frac{\partial h}{\partial t} + \frac{1}{R \cos \phi} \left( \frac{\partial hu}{\partial \lambda'} + \frac{\partial hv \cos \phi}{\partial \phi'} \right) + \frac{\partial \omega}{\partial \sigma} = 0 \quad (\text{A1.8})$$

$$\frac{\partial hu}{\partial t} + \frac{1}{R \cos \phi} \left( \frac{\partial hu^2}{\partial \lambda'} + \frac{\partial hv u \cos \phi}{\partial \phi'} \right) + \frac{\partial h \omega u}{\partial \sigma} = \quad (\text{A1.9})$$

$$\left( f + \frac{u}{R} \tan \phi \right) hv - \frac{1}{R \cos \phi} \left( \frac{h}{\rho_0} \left( \frac{\partial q}{\partial \lambda} + \frac{\partial q}{\partial \sigma} \frac{\partial \sigma}{\partial \lambda} \right) + gh \frac{\partial \eta}{\partial \lambda} \right) + h F_u + \frac{\partial}{\partial \sigma} \left( \frac{v_t^v}{h} \frac{\partial u}{\partial \sigma} \right)$$

$$\frac{\partial hv}{\partial t} + \frac{1}{R \cos \phi} \left( \frac{\partial hu v}{\partial \lambda'} + \frac{\partial hv^2 \cos \phi}{\partial \phi'} \right) + \frac{\partial h \omega v}{\partial \sigma} = \quad (\text{A1.10})$$

$$- \left( f + \frac{u}{R} \tan \phi \right) hu - \frac{1}{R} \left( \frac{h}{\rho_0} \left( \frac{\partial q}{\partial \phi} + \frac{\partial q}{\partial \sigma} \frac{\partial \sigma}{\partial \phi} \right) + gh \frac{\partial \eta}{\partial \phi} \right) + h F_v + \frac{\partial}{\partial \sigma} \left( \frac{v_t^v}{h} \frac{\partial v}{\partial \sigma} \right)$$

$$\frac{\partial hw}{\partial t} + \frac{1}{R \cos \phi} \left( \frac{\partial hu w}{\partial \lambda'} + \frac{\partial hv w \cos \phi}{\partial \phi'} \right) + \frac{\partial h \omega w}{\partial \sigma} = \quad (\text{A1.11})$$

$$- \frac{1}{\rho_0} \frac{\partial q}{\partial \sigma} + h F_w + \frac{\partial}{\partial \sigma} \left( \frac{v_t^v}{h} \frac{\partial w}{\partial \sigma} \right)$$

The vertical velocity,  $\omega$ , is given by

$$\omega = \frac{1}{h} \left( w + \frac{u}{R \cos \phi} \frac{\partial d}{\partial \lambda} + \frac{v}{R} \frac{\partial d}{\partial \phi} - \sigma \left( \frac{\partial h}{\partial t} + \frac{u}{R \cos \phi} \frac{\partial h}{\partial \lambda} + \frac{v}{R} \frac{\partial h}{\partial \phi} \right) \right) \quad (\text{A1.12})$$

### A.2.2 Transport equations

The transport equations for the  $k$ - $\varepsilon$  model are given as

$$\frac{\partial hk}{\partial t} + \frac{1}{R \cos \phi} \left( \frac{\partial huk}{\partial \lambda'} + \frac{\partial hv k \cos \phi}{\partial \phi'} \right) + \frac{\partial h \omega k}{\partial \sigma} \quad (\text{A1.13})$$

$$= h F_k + \frac{\partial}{\partial \sigma} \left( \frac{v_t^v}{h \sigma_k} \frac{\partial k}{\partial \sigma} \right) + h (P - \varepsilon)$$

$$\frac{\partial h \varepsilon}{\partial t} + \frac{1}{R \cos \phi} \left( \frac{\partial hu \varepsilon}{\partial \lambda'} + \frac{\partial hv \varepsilon \cos \phi}{\partial \phi'} \right) + \frac{\partial h \omega \varepsilon}{\partial \sigma} \quad (\text{A1.14})$$

$$= h F_\varepsilon + \frac{\partial}{\partial \sigma} \left( \frac{v_t^v}{h \sigma_\varepsilon} \frac{\partial \varepsilon}{\partial \sigma} \right) + h \frac{\varepsilon}{k} (c_{1\varepsilon} P - c_{2\varepsilon} \varepsilon)$$